



# Modeling and Prediction of Common Mode Electromagnetic Interference in GaN-Based Switching Power Converters

Chuang Bi<sup>1,\*</sup> · Heyang Shan<sup>1</sup> · Kai Gao<sup>2</sup> · Shaojing Wang<sup>2</sup> · Peng Xu<sup>2</sup>

## Abstract

Behavioral models of common mode (CM) electromagnetic interference (EMI) are proposed herein for a GaN high-electron-mobility transistor (HEMT) synchronous buck converter. First, a CM noise model is developed using a linear equivalent circuit that consists of a voltage source, current source, and two noise impedances. The behavioral parameters of the CM model are then extracted by changing the input-side shunt impedances. A GaN HEMT buck converter setup is then built using switching frequencies of 100 kHz, 200 kHz, and 500 kHz to verify the validity of the CM EMI behavioral model. A comparison between the experimental and predicted results indicated that the proposed CM EMI model of GaN-based power converters was able to predict well the CM EMI current in the 150 kHz–30 MHz frequency range.

**Key Words:** CM Behavioral Model, CM Noise Model, Electromagnetic Interference, GaN-based Power Converter.

## I. INTRODUCTION

Switched power converters that use wide-bandgap (WBG) semiconductor devices composed of materials such as gallium nitride (GaN) and silicon carbide (SiC) are able to work at high switching frequencies, which increases their power density [1–3]. In addition, the switching losses and efficiencies of these devices decrease and increase, respectively, owing to the relatively low ON-resistance of WBG semiconductor devices during steady-state conduction [4–6]. However, WBG-based switched power converters generate electromagnetic interference (EMI) noise owing to the faster switching frequencies accompanied by higher  $dv/dt$  and  $di/dt$  slew rates, which may interfere with low-voltage

electronics and sensitive digital circuits. To reduce the EMI level and fulfill EMI standards, EMI constraints should be considered in the design stage.

To this end, EMI noise must be measured experimentally by using a high-precision EMI receiver. However, EMI evaluation using this traditional method is limited by the experimental environment and test equipment, and the test process is time-consuming and complex. Therefore, to provide electromagnetic compatibility (EMC) engineers with an accurate EMI prediction method, it is essential to develop a high-frequency behavioral model of GaN-based switching power converters for analyzing EMI noise generation and its propagation paths.

Researchers have developed several EMI models to predict

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the common mode (CM) EMI noise of power converters. For instance, lumped circuit models with all the relevant parasitic parameters of the circuit have been developed based on the physics models of semiconductor devices [7]; these models can be implemented in simulation software tools to predict EMI in the time and frequency domains. Then, CM and differential mode (DM) EMI noise have been modeled using decoupling mode models, which are based on two-terminal Thevenin or Norton equivalent circuits [8, 9]. A nonlinear lumped circuit model of noise sources in power converters was developed to simulate the insulated-gate bipolar transistor (IGBT) EMI behavioral model [10]. To improve prediction accuracy, passive components were added to this lumped circuit model [11–15], such as a broadband EMI model of the cable and motor, near-field electromagnetic coupling between inductors, parasitic parameters of printed circuit board layout, nonlinear behaviors of inductors and transformers, and other EMI phenomena. However, the traditional lumped circuit models are composed of a CM source and CM impedance, which do not describe the specific EMI noise source in a given system. In addition, the relationship between the switching characteristics of power semiconductor devices and EMI noise source cannot be determined using the lumped EMI models alone. Therefore, these lumped circuit models can predict EMI noise at high frequencies only owing to incomplete modeling of the EMI noise source and its propagation path.

Behavioral models, too, have been developed by many researchers [16–30]. In [16–18], improved three-terminal Norton equivalent circuits were used to model power converters based on the three-terminal Thevenin equivalent circuit proposed in [10]. An EMI modeling method for mixed-mode noise in three-phase inverter systems was developed in [19]. Then, Thevenin equivalent circuits for modeling the coupled CM/DM behavior in power electronic systems were investigated in [20, 21]. Behavioral EMI models based on Thevenin equivalent circuits have been proposed for a DC-fed three-phase motor drive system [22–25]; in these studies, both CM and DM noise models have been developed to accurately predict the total EMI noise in the system. In [26], black-box behavioral models of low-switching-frequency power inverters were proposed for EMC analyses of renewable power systems. In addition, other behavioral modeling techniques were used for CM noise attenuation in DC–DC boost converters [27]. Notably, lumped circuit models or behavioral models can be used to predict CM EMI noise under the important assumption that no crosstalk or mix-mode noise occurs between the CM and DM noise sources [28–30].

Owing to the faster commutation speed, higher switching frequency, and higher  $dv/dt$  and  $di/dt$  slew rates of WBG power semiconductors [25], the effects of the negligible parasitic components in the previous models of EMI noise sources and prop-

agation paths should be considered again, especially for high-frequency GaN-based buck converter systems. Accordingly, the prediction capability of the above lumped circuit models and behavioral models would be limited at lower frequencies. Furthermore, models that can accurately predict and characterize the relationship between the parasitic parameters and the CM noise level, especially for the GaN-based buck converter systems, are lacking.

In this study, a novel CM EMI behavioral model is proposed to predict the EMI noise of GaN high-electron-mobility transistor (HEMT) power converters. The proposed model not only reflects the source of CM noise in actual circuits but also reveals the relationship between the CM noise and switching characteristics of GaN devices. The remainder of this paper is organized as follows. Section II describes the CM behavioral model of a GaN HEMT synchronous buck converter. Section III presents the CM EMI behavioral modeling procedure. Section IV introduces the experimental GaN HEMT synchronous buck converter setup used herein and describes the method of extracting the CM EMI model parameters. Sections V and VI present the experimental validation process and the model’s predictions, respectively. Finally, our conclusions are given in Section VII.

## II. CM EMI BEHAVIORAL MODEL

### 1. EMI Noise Source and CM Propagation Paths in GaN HEMT Synchronous Buck Converters

To analyze and predict the CM EMI conducted in WBG-based switched power converters, behavioral models should include the noise sources and their propagation paths.

As illustrated in Fig. 1, a standard line impedance stabilization network (LISN) provides DC power to a synchronous buck converter, which is connected to the earth ground through the  $R_L$ - $C_L$  and  $R_N$ - $C_N$  branches.  $C_P$  denotes the parasitic capacitance between the source of the GaN HEMT device and the heat sink of the half bridge. Owing to high-frequency switching of the HEMT device, significant  $dv/dt$  and  $di/dt$  are generated in the phase-leg node M, which is coupled to the ground through  $C_P$ , leading to the generation of CM conducted noise.

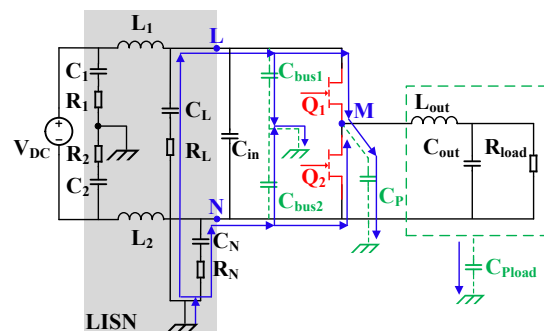


Fig. 1. Common mode (CM) EMI in GaN HEMT synchronous buck converter.

Here, because the packages used for the HEMTs are identical and the heat sink, as a whole, is fixed under the device, we can ignore the asymmetry of the conduction path and assume that the parasitic capacitances  $C_P$  of the device to the ground are identical.  $C_{bus1}$  and  $C_{bus2}$  represent the parasitic capacitances between the alignments of positive and negative DC power on the PCB and the earth ground. Generally, these capacitances can be considered identical because they are composed of the same material and have the same alignment lengths.

Notably, it is difficult in practice to completely isolate the output filter unit and the load side of converter from the ground. Therefore, the parasitic capacitance  $C_{Pload}$  to the earth ground on the output side should be considered when analyzing the CM conduction path.

During operation of the GaN HEMT synchronous buck converter, energy conversion is achieved by alternately switching on and off the upper and lower HEMTs. When the converter is operated in the on state, control device  $Q_1$  is turned on, synchronous device  $Q_2$  is turned off, and the power supply charges inductor  $L_{out}$ , which converts electrical energy into magnetic energy and stores it in the magnetic core. The switching speed of GaN HEMTs is generally of the order of tens of nanoseconds, during which time the potential at point M increases rapidly to the input voltage. Therefore, in the on state, the voltage  $V_{DS}$  across the drain-source terminal of control device  $Q_1$  is the noise source. Similarly, when the converter is operated in the off state, control device  $Q_1$  is turned off, synchronous device  $Q_2$  is turned on, and the magnetic energy stored in inductor  $L_{out}$  is discharged as electrical energy to form a continuous loop through synchronous device  $Q_2$ . Therefore, in the off state, the drain current  $I_D$  of synchronous device  $Q_2$  is the noise source. because of the parasitic parameters in the CM EMI propagation paths, the voltage source  $V_{DS}$  and current source  $I_D$  produce the CM noise that flows through  $C_P$  and  $C_{bus}$  to the earth and returns through the  $R_L-C_L$  and  $R_N-C_N$  branches.

Additional CM EMI noise sources include high  $dv/dt$  and  $di/dt$ , as well as high-frequency harmonic signals on the output side. However, because of the relatively strong ripple control requirements on the output side of the buck converter, which generally does not exceed 2% of the output voltage, and the isolation effect of the filter inductor  $L_{out}$  on the high-frequency signals, most of the switching noise is isolated in the phase-leg node M. Therefore, the contribution of the output side to the CM EMI in the buck converter is considered minimal, and the EMI-related problems caused by  $Q_1$  and  $Q_2$  are mainly considered and analyzed herein. Moreover, the drain-source voltage  $V_{DS}$  across  $Q_1$  and drain current  $I_D$  through  $Q_2$  can be considered to contain all CM EMI noise source information pertaining to the converter system. Based on the above analysis, the CM EMI loops in the GaN HEMT synchronous buck converter are as follows:  $V_{DS}$  of  $Q_1$ ,  $I_D$  of  $Q_2$ ,  $R_L-C_L$ ,  $R_N-C_N$ , and parasitic capacitance branches  $C_{bus}$  and  $C_P$ .

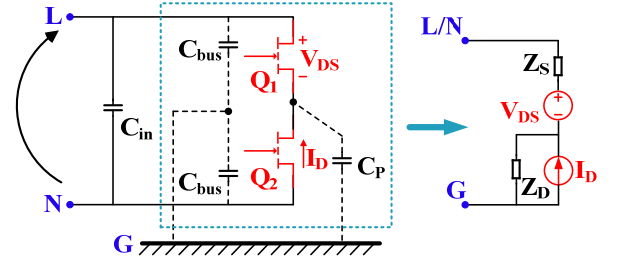


Fig. 2. Equivalent circuit of EMI noise source and CM propagation paths in GaN HEMT synchronous buck converter.

## 2. Equivalent Circuit of EMI Noise Source and CM Propagation Paths

To understand the CM EMI in a GaN HEMT synchronous buck converter, we propose a behavioral model of CM EMI noise in this section. The magnitude of the  $C_L$  and  $C_N$  illustrated in Fig. 1 is 0.1  $\mu\text{F}$ , while the capacitance of  $C_{in}$  is high at several hundred microfarads to obtain a good filtering function for the input voltage. At a given frequency, the impedance of  $C_{in}$  is considerably smaller than those of  $C_L$  and  $C_N$ . Therefore, the positive and negative terminals L/N can be simplified as one node in the two-terminal model of the buck converter, as illustrated in Fig. 2.

Fig. 2 shows the GaN HEMT buck converter and its equivalent CM EMI noise source circuit and CM propagation paths. The positive, negative, and ground input terminals of the GaN HEMT synchronous buck converter are labeled L, N, and G, respectively. According to the definition of CM EMI, the upper terminal of the equivalent circuit represents the input-side positive and negative terminals that are short-circuited together, which is referenced to ground. When the upper GaN HEMT  $Q_1$  is turned on, and the lower GaN HEMT  $Q_2$  is turned off, a high  $dv/dt$  voltage slew rate is produced on the drain-source voltage  $V_{DS}$  of GaN HEMT  $Q_1$ . Conversely, a high  $di/dt$  current slew rate is generated on the drain current  $I_D$  of GaN HEMT  $Q_2$ . Therefore, during the switching transient process, the upper GaN HEMT is modeled as the voltage source  $V_{DS}$  and impedance  $Z_S$  in series, according to Thevenin's theorem, and the lower GaN HEMT is modeled as the current source  $I_D$  and impedance  $Z_D$  in parallel, according to Norton's theorem.

## III. CM EMI BEHAVIORAL MODELING PROCEDURE

This section presents the CM EMI behavioral modeling procedure under the assumption that the GaN HEMT synchronous buck converter operates in a linear and time-invariant fashion at its input terminals [17]. The modeling procedures are designed using the nominal case and attenuated case, respectively, where the load impedance  $Z_{LISN}$  is in parallel without or with a shunt impedance  $Z_{SHUNT}$ .

Typically, the CM current signal is measured for the nominal case depicted in Fig. 3. The CM load of the model in this case is the LISN. As illustrated in Fig. 3(a), the LISN is modeled as

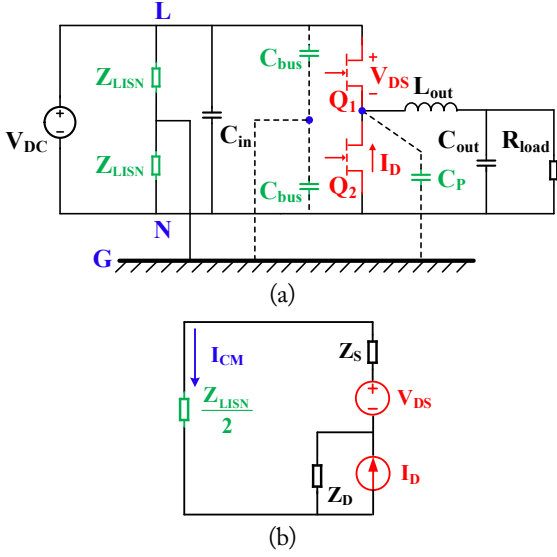


Fig. 3. Nominal case of model extraction: (a) generalized behavioral model and (b) approximate equivalent circuit.

a load impedance  $Z_{LISN}$ , and the drain-source voltage  $V_{DS}$  of the upper HEMT and drain current  $I_D$  of the lower HEMT are modeled as CM EMI noise sources. According to Thevenin's and Norton's theorems, these two noise sources can be equated to a voltage source  $V_{DS}$  in series with an impedance  $Z_S$  and a current source  $I_D$  in parallel with an impedance  $Z_D$ , respectively, to obtain the equivalent circuit of the approximate model, as illustrated in Fig. 3(b). In this manner, the characteristics of the CM noise source are contained in  $V_{DS}$  and  $I_D$ , and the parasitic characteristics of the noise conduction path are contained in  $Z_S$  and  $Z_D$ .

The expression of the CM current can be obtained by applying the superposition theorem to the approximate equivalent circuit, as follows. The current source  $I_D$  is first open-circuited to consider the effect of the drain-source voltage  $V_{DS}$  of the upper GaN HEMT on the CM current in the loop, which corresponds to the "on" operating state of the buck converter, as illustrated in Fig. 4(a). Then, the voltage source  $V_{DS}$  is short-circuited to consider the drain current  $I_D$  of the lower GaN HEMT with respect to the CM current, which corresponds to the "off" operating state of the

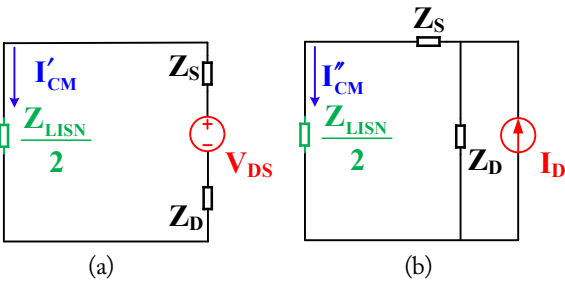


Fig. 4. Equivalent circuit of  $I_{CM}$  obtained by applying the superposition theorem: (a) equivalent circuit with  $I_D$  open-circuited and (b) equivalent circuit with  $V_{DS}$  short-circuited.

buck converter, as illustrated in Fig. 4(b).

Fig. 4(a) depicts the "on" state of the buck converter, which represents the relationship between the upper GaN HEMT drain-source voltage  $V_{DS}$  and the CM current  $I'_{CM}$ . Then, by using Kirchhoff's theorem, the relationship between these two quantities can be obtained easily, as follows:

$$I'_{CM} = \frac{V_{DS}}{Z_D + Z_S + \frac{Z_{LISN}}{2}} \quad (1)$$

Fig. 4(b) corresponds to the "off" state of the buck converter, which represents the relationship between the lower GaN HEMT drain current  $I_D$  and the CM current  $I_{CM}$ . The expression for determining  $I''_{CM}$  is as follows:

$$I''_{CM} = \frac{I_D \cdot Z_D}{Z_D + Z_S + \frac{Z_{LISN}}{2}} \quad (2)$$

By summing  $I'_{CM}$  and  $I''_{CM}$ , we can obtain the CM current  $I_{CM}$  in terms of  $V_{DS}$ ,  $I_D$ ,  $Z_D$ ,  $Z_S$ , and  $\frac{Z_{LISN}}{2}$ , as expressed in Eq. (3).

$$I_{CM} = \frac{V_{DS} + I_D \cdot Z_D}{Z_D + Z_S + \frac{Z_{LISN}}{2}} \quad (3)$$

To determine the model impedances  $Z_D$  and  $Z_S$ , more equations should be developed for attenuated cases by adding the shunt impedances  $Z_{SHUNT1}$  and  $Z_{SHUNT2}$  between L/N and G. Thus, the CM loads of the model in the attenuated cases can be written as  $\frac{Z_{LISN}}{2} \parallel \frac{Z_{SHUNT1}}{2}$  and  $\frac{Z_{LISN}}{2} \parallel \frac{Z_{SHUNT2}}{2}$ , as presented in Fig. 5(a). Notably, when the shunt impedances are added between L/N and G, the CM currents in the CM EMI loops change, and they are labeled using different terms, such as  $I_{CM1}$  and  $I_{CM2}$ , in the following equations.

Similar to the treatment of the nominal case, the approximate

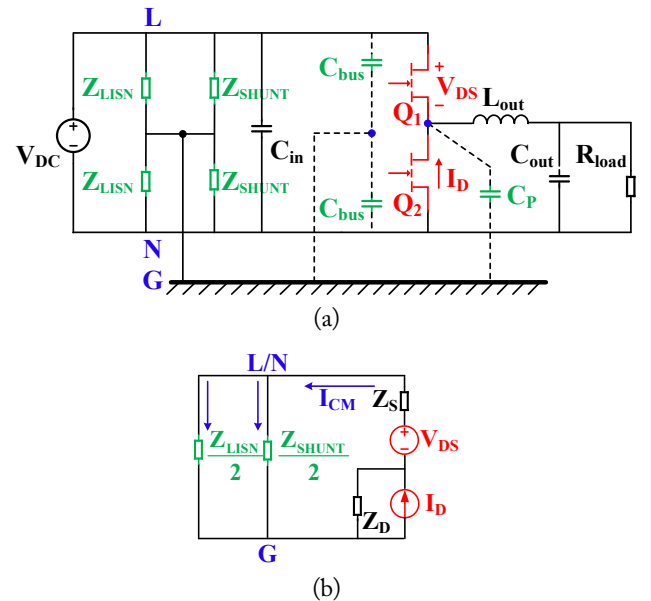


Fig. 5. Attenuated case for model extraction: (a) generalized behavioral model and (b) approximate equivalent circuit.



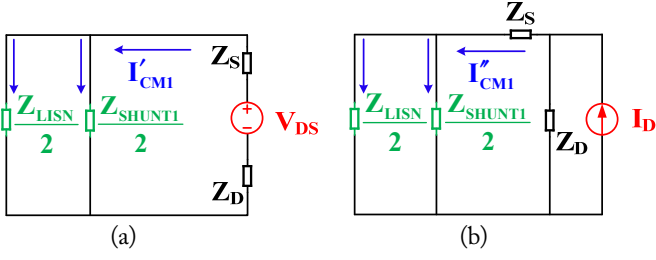


Fig. 6. Equivalent circuit of  $I_{CM1}$  obtained by applying the superposition theorem: (a) equivalent circuit with  $I_D$  open-circuited and (b) equivalent circuit with  $V_{DS}$  short-circuited.

equivalent circuit corresponding to the attenuated case is first obtained (Fig. 5(b)). Then, the expressions of the CM current  $I_{CM1}$  and its corresponding equivalent circuit are obtained by applying the superposition theorem, and they are given in Eq. (4) and presented in Fig. 6.

$$I_{CM1} = \frac{V_{DS} + I_D \cdot Z_D}{Z_D + Z_S + \frac{Z_{LISN} \parallel Z_{SHUNT1}}{2}}. \quad (4)$$

Similarly, Eq. (5), which represents  $I_{CM2}$ , can be obtained by replacing  $Z_{SHUNT1}$  with  $Z_{SHUNT2}$ .

$$I_{CM2} = \frac{V_{DS} + I_D \cdot Z_D}{Z_D + Z_S + \frac{Z_{LISN} \parallel Z_{SHUNT2}}{2}}. \quad (5)$$

The  $V_{DS}$ , and  $I_D$  in Eqs. (4) and (5) correspond to the drain-source voltage  $V_{DS}$  of the upper GaN HEMT and drain current  $I_D$  of the lower GaN HEMT, respectively. Because the drain-source voltage  $V_{DS}$  of the upper GaN HEMT and drain current  $I_D$  of the lower GaN HEMT are mainly determined by the duty cycle of the control signal, the switching frequency, input voltage level, parasitic capacitance of the device itself, parasitic inductance introduced by the package, parasitic inductance of the PCB alignment, and variation of the CM load impedance have little effect on them. Therefore, we can assume that  $V_{DS}$  and  $I_D$  are constant in the nominal and attenuated cases.

According to Eqs. (4) and (5), the impedances  $Z_D$  and  $Z_S$  of the CM EMI noise source shown in Fig. 3 can be determined using Eqs. (6) and (7), respectively.

$$Z_D = \frac{I_{CM1} \cdot V_{DS} - I_{CM2} \cdot V_{DS} + I_{CM1} \cdot I_{CM2} \cdot \left( \frac{Z_{LISN1} \parallel Z_{SHUNT1}}{2} - \frac{Z_{LISN2} \parallel Z_{SHUNT2}}{2} \right)}{(I_D \cdot I_{CM2} - I_D \cdot I_{CM1})}, \quad (6)$$

$$Z_S = \frac{(I_{CM1} - I_D) \cdot \left( \frac{Z_{LISN1} \parallel Z_{SHUNT1}}{2} - V_{DS} \right) + (I_{CM2} - I_D) \cdot \left( V_{DS} - I_{CM1} \cdot \frac{Z_{LISN1} \parallel Z_{SHUNT1}}{2} \right)}{I_D \cdot I_{CM2} - I_D \cdot I_{CM1}}. \quad (7)$$

Notably, the impedances  $Z_D$  and  $Z_S$  are functions of  $I_{CM1}$ ,  $I_{CM2}$ ,  $V_{DS}$ ,  $I_D$ ,  $\frac{Z_{LISN}}{2} \parallel \frac{Z_{SHUNT1}}{2}$ , and  $\frac{Z_{LISN}}{2} \parallel \frac{Z_{SHUNT2}}{2}$ . The CM currents  $I_{CM1}$  and  $I_{CM2}$  can be measured directly using the experimental setup. Moreover, the model parameters  $V_{DS}$  and  $I_D$  can be measured experimentally.

#### IV. EXTRACTION OF CM EMI BEHAVIORAL MODEL PARAMETERS

##### 1. Experimental Setup

Fig. 7 shows a schematic diagram of the experimental setup of the GaN HEMT synchronous buck converter. The synchronous buck converter operates with a 100-V DC input and 50-V DC output across a 20- $\Omega$  load, where the switching frequency  $f$  is 500 kHz, and the drive signal duty cycle of the converter  $D$  is 0.5. The input capacitor consists of two 150- $\mu$ F electrolytic capacitors, one 3- $\mu$ F film capacitor, and four 100-nF multilayer ceramic capacitors in parallel. Two GaN HEMTs (INN650D140A; Innoscience, Zhuhai, China) are used in the synchronous buck converter. A photograph of the corresponding GaN HEMT synchronous buck converter setup is presented in Fig. 8. The digital controller (DSP TMS320F28335; Texas Instruments, Dallas, TX, USA) provides pulse-width-modulated driving signals for the driver IC (STDRIVEG600; STMicroelectronics, Geneva, Switzerland) of the GaN HEMTs. The LISN used in the experiment (NSLK 8127; Rohde & Schwarz, Munchen, Germany) can operate between 9 kHz and 30 MHz. The CM currents  $I_{CM1}$  and  $I_{CM2}$  can be measured directly in the frequency range 10 Hz–7 GHz by using an equivalent series resistance (ESR) EMI test receiver (Rohde & Schwarz). The drain-to-source voltage  $V_{DS}$  and current source  $I_D$  of the GaN HEMTs are

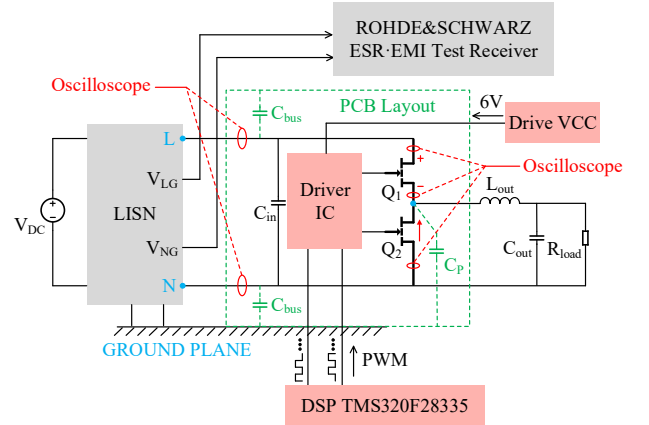


Fig. 7. Schematic of experimental setup of a GaN HEMT synchronous buck converter.

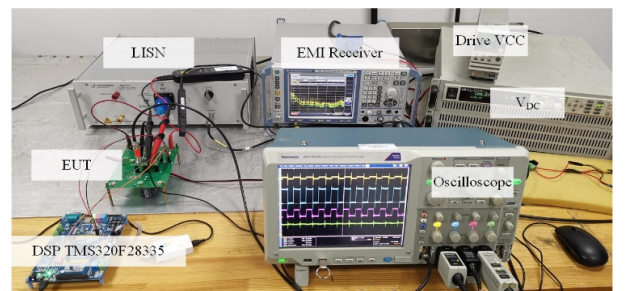


Fig. 8. GaN HEMT synchronous buck converter setup.

measured using a digital oscilloscope (DPO 5034B; Tektronix, Beaverton, OR, USA) with a bandwidth of 350 MHz, sampling rate of 5 GS/s, and four channels.

An LISN (NSLK 8127) is used in the experimental setup to block EMI noise from the DC source. The circuit schematic of this LISN and its corresponding photograph are depicted in Fig. 9. Then, the output impedances of the LISN are characterized using a WK6500B Series impedance analyzer, which is shown in Fig. 10. Interestingly, the output impedance of the LISN is inductive in nature in the 150 kHz–2 MHz frequency range, and it is 50 Ω in the 2–30 MHz frequency range.

### 2. Extraction of Model Parameters

To obtain an accurate CM EMI noise model, the impedances of the CM EMI propagation paths are first determined by conducting experimental measurements. A series combination of a 22-Ω resistor and 0.1-μF capacitor is used as the shunt impedance  $Z_{SHUNT1}$ . Another series combination of a 330-Ω resistor and 0.1-μF capacitor is used as the shunt impedance  $Z_{SHUNT2}$ . Additionally, the shunt impedances  $Z_{SHUNT1}$  and  $Z_{SHUNT2}$  are

measured using the WK6500B SERIES impedance analyzer, and the measured impedance curves of  $Z_{SHUNT1}$  and  $Z_{SHUNT2}$  are depicted in Figs. 11 and 12, respectively.

Second, the noise voltage and current sources, such as  $V_{DS}$  and  $I_D$ , are measured using the digital oscilloscope. The time- and frequency-domain waveforms of  $V_{DS}$  are presented in Fig. 13. The experimental measurements of the noise current source  $I_D$  are presented in Fig. 14.

The next step is to measure the CM EMI currents under different load impedance conditions. The characteristics of the CM

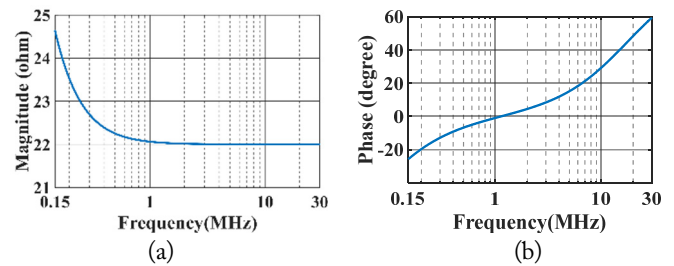


Fig. 11. Impedance characteristic curve of  $Z_{SHUNT1}$ : (a) impedance magnitude and (b) impedance phase.

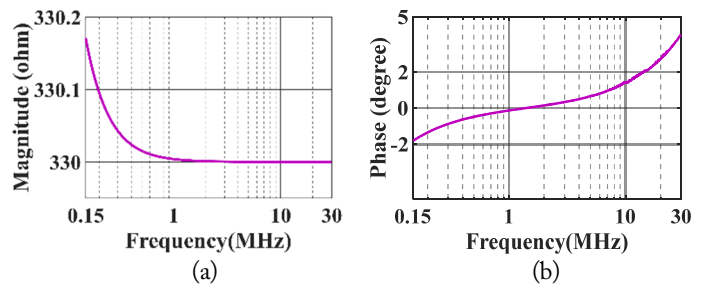


Fig. 12. Impedance characteristic curve of  $Z_{SHUNT2}$ : (a) impedance magnitude and (b) impedance phase.

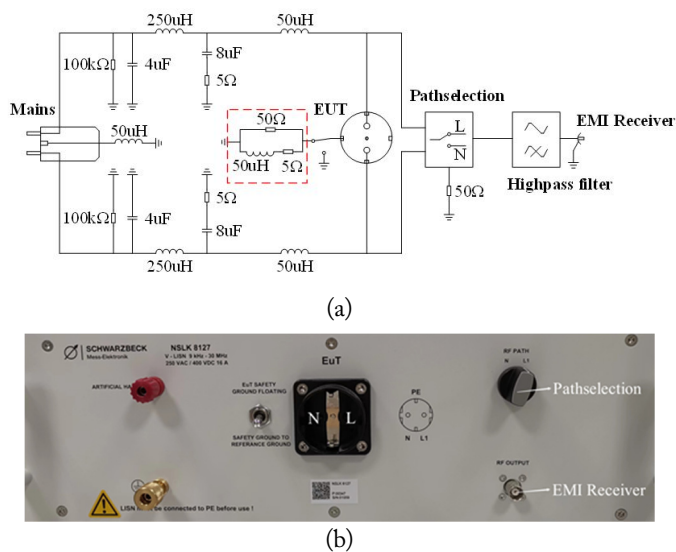


Fig. 9. Line impedance stabilization network (LISN) used in the experimental setup: (a) circuit schematic and (b) photograph of LISN.

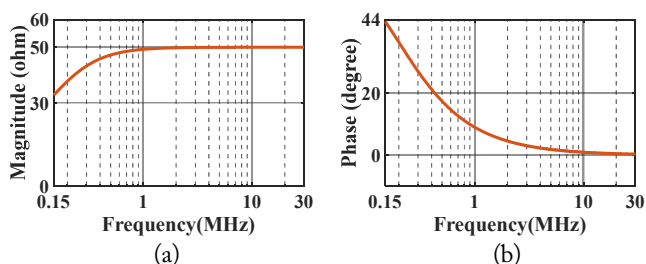


Fig. 10. Impedance characteristic curve of  $Z_{LISN}$ : (a) impedance magnitude and (b) impedance phase.

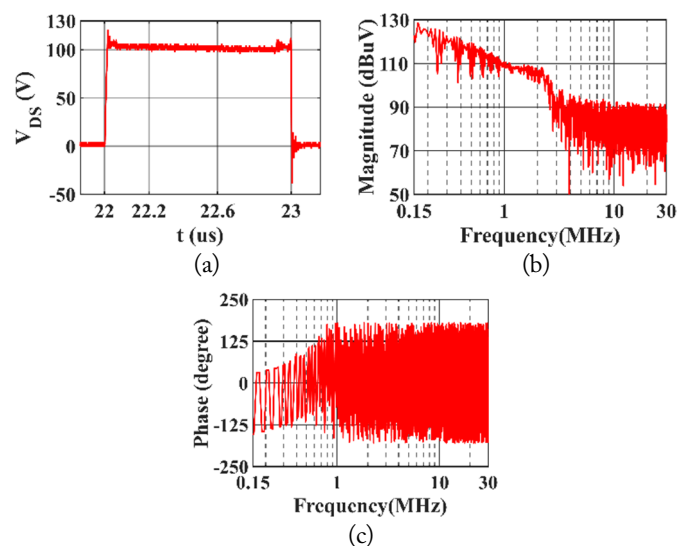


Fig. 13. Measured voltage  $V_{DS}$ : (a) time-domain waveform of  $V_{DS}$ , (b) magnitude of  $V_{DS}$  in frequency domain, and (c) phase of  $V_{DS}$  in frequency domain.

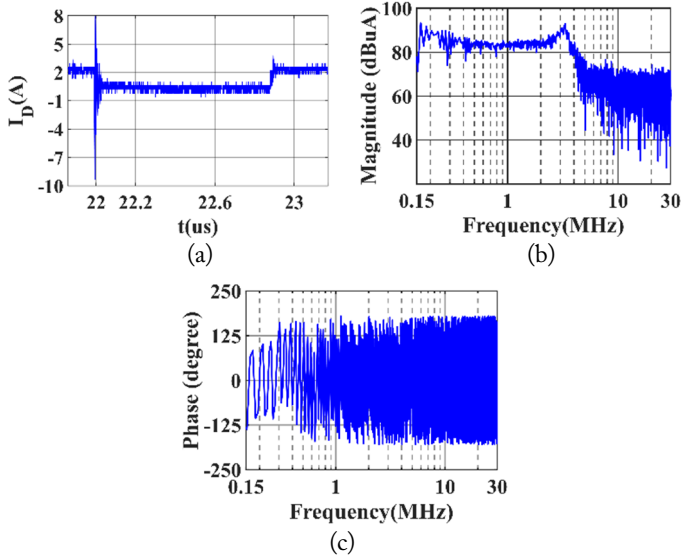


Fig. 14. Measured current  $I_D$ : (a) time-domain waveform of  $I_D$ , (b) magnitude of  $I_D$  in frequency domain, and (c) phase of  $I_D$  in frequency domain.

EMI noise source are invariable, even though shunt impedances are applied between L/N and G, which changes the CM EMI loop impedance in the GaN HEMT synchronous buck converter. Then, the CM currents  $I_{CM1}$  and  $I_{CM2}$  are measured to calculate the model impedances  $Z_D$  and  $Z_S$ . Figs. 15 and 16 show the measured CM currents  $I_{CM1}$  and  $I_{CM2}$ , respectively, with the shunt impedance  $Z_{SHUNT1}$  and  $Z_{SHUNT2}$  added between L/N and G parallel to  $Z_{LISN}$ . Notably, higher ringing in the time-domain waveforms of the CM current can be seen in Figs. 15(a) and 16(a); these waveforms are related to the turn-on and turn-off switching processes of the GaN HEMTs, respectively. Moreover, according to Figs. 15(a) and 16(a), the magnitude of

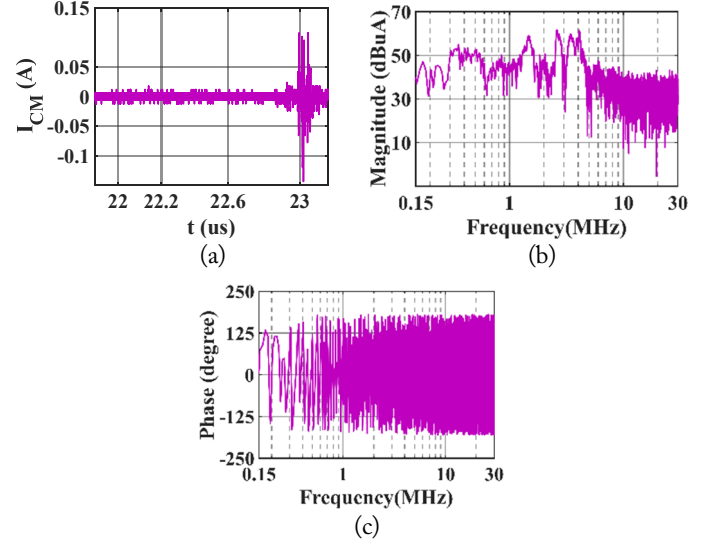


Fig. 16. Measured CM current  $I_{CM2}$  with the shunt impedance  $Z_{SHUNT2}$ : (a) time-domain waveform of  $I_{CM2}$ , (b) magnitude of  $I_{CM2}$  in frequency domain, and (c) phase of  $I_{CM2}$  in frequency domain.

ringing during the turn-on process of the upper GaN HEMT is higher than that during the turn-off process. In addition, according to Figs. 15 and 16, the magnitude of the  $I_{CM2}$  spectrum is smaller than that of  $I_{CM1}$  at frequencies lower than 1 MHz. This is because the higher resistance value of  $Z_{SHUNT2}$  relative to that of  $Z_{SHUNT1}$  has a dampening effect on the CM EMI noise.

According to the experimental measurements, such as  $V_{DS}$ ,  $I_D$ ,  $I_{CM1}$  and  $I_{CM2}$ , the impedances  $Z_D$  and  $Z_S$  in the CM EMI noise model can be calculated using Eqs. (6) and (7), respectively, as shown in Figs. 17 and 18. The entire modeling process is depicted in Fig. 19.

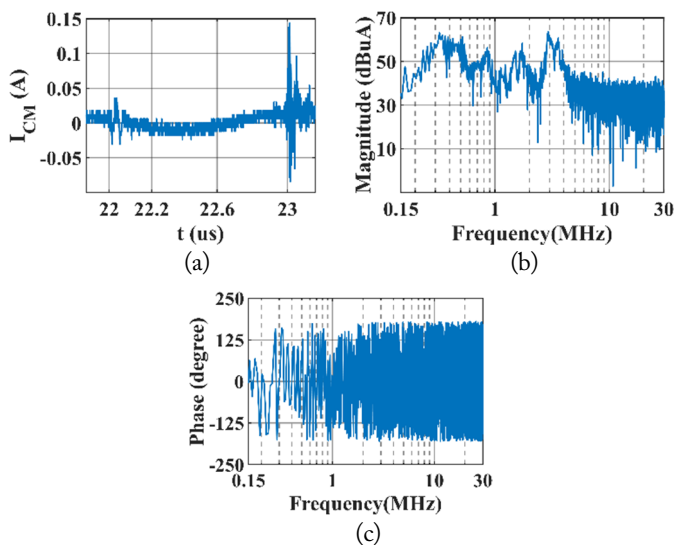


Fig. 15. Measured CM current  $I_{CM1}$  with the shunt impedance  $Z_{SHUNT1}$ : (a) time-domain waveform of  $I_{CM1}$ , (b) magnitude of  $I_{CM1}$  in frequency domain, and (c) phase of  $I_{CM1}$  in frequency domain.

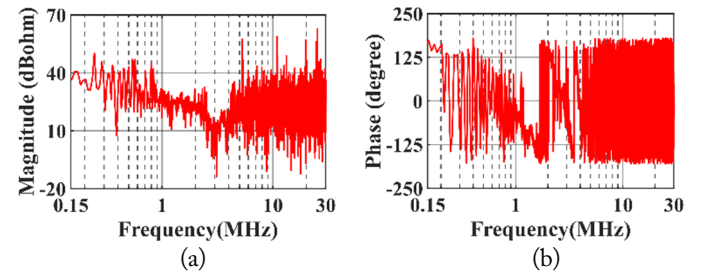


Fig. 17. Calculated impedance  $Z_D$ : (a) magnitude of  $Z_D$  in frequency domain and (b) phase of  $Z_D$  in frequency domain.

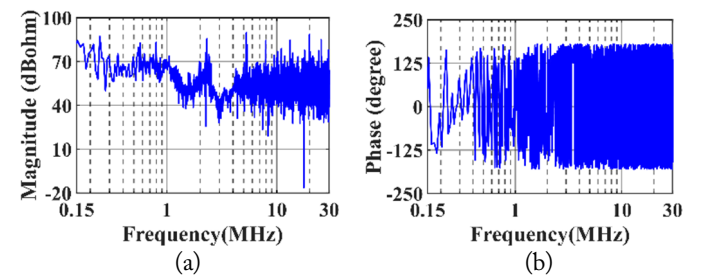


Fig. 18. Calculated impedance  $Z_S$ : (a) magnitude of  $Z_S$  in frequency domain and (b) phase of  $Z_S$  in frequency domain.

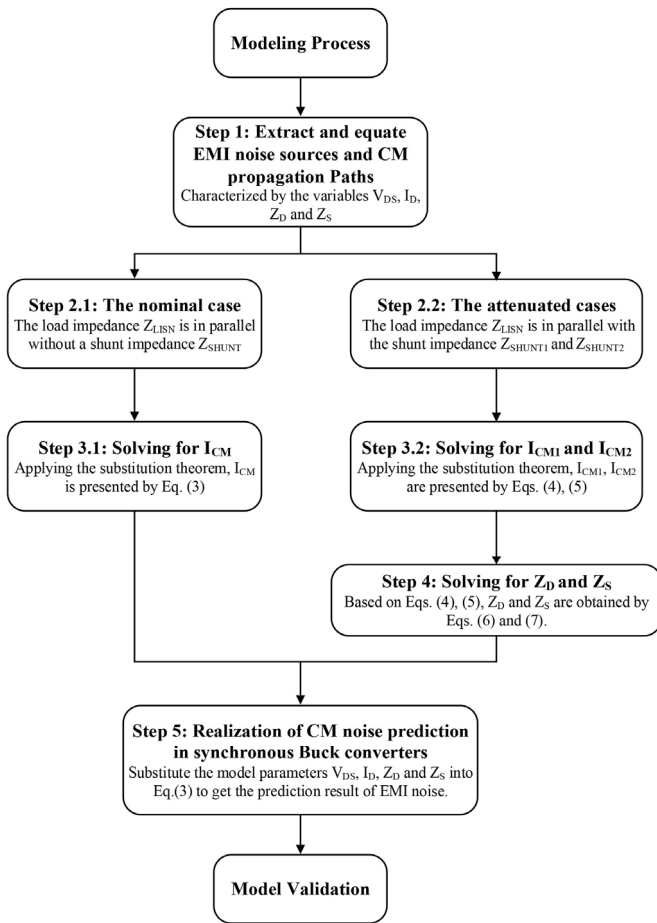


Fig. 19. Block diagram of entire modeling process.

## V. CM EMI MODEL VALIDATION

The CM EMI behavioral model proposed in this paper was validated using a GaN HEMT synchronous buck converter. Note that we aimed to validate the CM EMI model for predicting the CM current in the GaN HEMT synchronous buck converter, and the EMC standards were excluded from the comparison between the experimental and calculated results. To predict the CM noise currents, the impedances  $Z_D$  and  $Z_S$  in the CM EMI noise model were determined, as illustrated in Figs. 17 and 18, respectively. The drain-source voltage  $V_{DS}$  and drain current  $I_D$  of the GaN HEMTs were measured, as shown in Figs. 13 and 14, respectively. Eq. (3) was then used to calculate the resulting CM current through the GaN HEMT synchronous buck converter. Notably, the CM current was measured considering the shunt impedances, as illustrated in Fig. 20. A comparison between measured and predicted CM currents is presented in Fig. 21.

The CM EMI model was developed using two impedances, a voltage source, and a current source, and it was difficult to include all these components in the physic system. Thus, a few spikes were observed in the 1–5 MHz frequency range, which were different from the experimental results. Furthermore, the

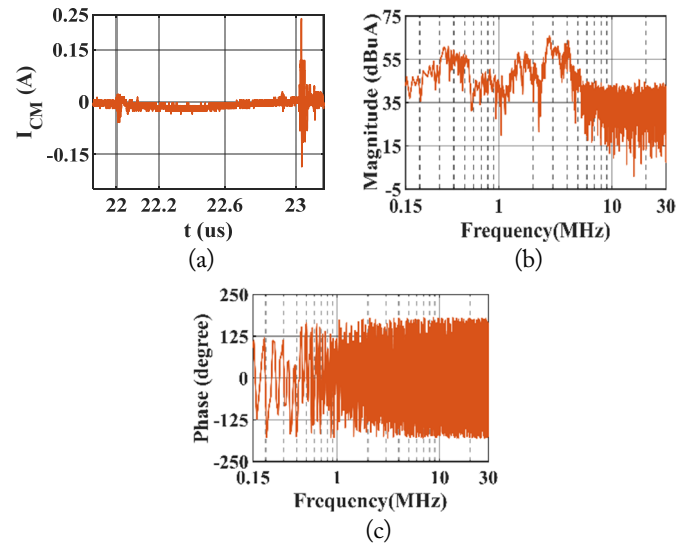
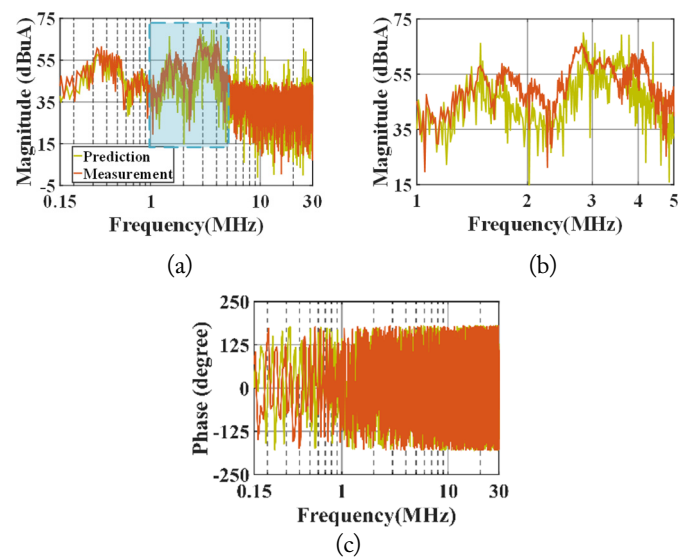

 Fig. 20. Measured CM current  $I_{CM}$  without shunt impedance: (a) time-domain waveform of  $I_{CM}$ , (b) magnitude of  $I_{CM}$  in frequency domain, and (c) phase of  $I_{CM}$  in frequency domain.


Fig. 21. Comparison of measured and predicted CM currents of GaN HEMT synchronous buck converter operating at 500 kHz: (a) magnitude of CM currents in frequency domain, (b) magnified view of 1–5 MHz frequency range, and (c) phase of CM currents in frequency domain.

instruments used in the EMI tests, including the LISN, EMI receiver, oscilloscope, and various probes, introduced a few unavoidable errors, which had a certain impact on the prediction accuracy of the CM EMI model. According to Figs. 12 and 13, the characteristics of  $Z_{SHUNT1}$  and  $Z_{SHUNT2}$  were affected by the parasitic parameters in the higher-frequency bands (greater than or equal to 10 MHz). This explained the appearance of a few spikes in the comparison results at frequencies higher than 5 MHz in Fig. 21. However, in general, the proposed CM EMI behavior model was accurate and reliable in predicting the CM EMI current.



## VI. CM EMI PREDICTION UNDER DIFFERENT SWITCHING FREQUENCIES

To demonstrate the accuracy of the proposed CM EMI behavioral model in terms of predicting the CM EMI noise in the GaN HEMT synchronous buck converter, the CM currents through the system were measured in the 200 kHz and 100 kHz switching frequency cases, as illustrated in Figs. 22 and 23, respectively. Both the magnitude and phase of the CM current spectra predicted using the behavioral model were compared with the corresponding experimental measurements. The predicted CM noise matched well with the experimental results in the 150 kHz–30 MHz frequency range.

As can be inferred from Fig. 22, several peaks exhibited larger magnitude differences when the GaN-based converter was operated at 200 kHz because of the deviations between the measured and calculated values of the impedances  $Z_D$  and  $Z_S$ . The maximum deviation was approximately 5 dB in the 300–700 kHz and 1–4 MHz frequency ranges. Notably, the predicted magnitude of the CM current spectrum was higher than the measured magnitude at frequencies lower than 1 MHz owing to the effect of the LISN impedance in the 150 kHz–1 MHz frequency range.

Fig. 23, by contrast, shows that the CM EMI noise model was able to predict the CM currents with good accuracy at fre-

quencies lower than 10 MHz in the 100 kHz switching frequency case. The maximum deviation was approximately 4 dB in the 250–500 kHz and 2–4.5 MHz frequency ranges. The comparison results are remarkably different at frequencies higher than 10 MHz owing to the accuracy of the experimental measurements in the high-frequency range and the interference due to environmental noise.

The deviation in the comparison results can be summarized as follows:

- In the process of predicting CM EMI noise, it is inevitable to ignore the contribution of the PCB layout basics, passive devices, active devices, and DSP controller to the EMI. However, the above factors do influence the experimental results.
- The parasitic parameters of the two shunt impedances  $Z_{SHUNT1}$  and  $Z_{SHUNT2}$  in the high-frequency band inevitably introduce some interference in the calculation of the model parameters.
- The accuracy of the measurement equipment in the high-frequency range and the interference due to environmental noise affect the experimental results to some extent.

In general, the CM EMI behavioral model proposed herein can be used to analyze and predict the EMI noise in the GaN HEMT synchronous buck converter.

## VII. CONCLUSION

A CM EMI behavioral model was proposed to predict the CM current through a GaN HEMT synchronous buck converter, which consisted of a voltage source, current source, and two impedances. First, we devised an experimental setup for extracting model parameters. Then, we measured the drain-source voltage  $V_{DS}$  and drain current  $I_D$  of GaN HEMTs and estimated the impedances of the CM EMI noise model by conducting experimental measurements. Thereafter, we determined the parameters of the CM EMI model to predict the CM EMI current through the system, where experimental validation was performed using a GaN HEMT synchronous buck converter.

The proposed behavioral model and prediction method can help EMC engineers to evaluate and predict the CM EMI of a GaN HEMT synchronous buck converter by using simple experimental data. To a certain extent, the proposed model solves the time-consuming and complex problem of traditional EMI testing. Furthermore, the investigation results can provide EMC engineers with an optimum method to design EMI filters for GaN-based power converters.

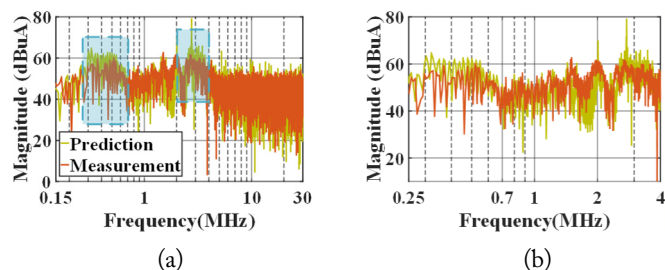


Fig. 22. Comparison of measured and predicted CM currents of GaN HEMT synchronous buck converter operated at 200 kHz: (a) magnitude of CM currents in frequency domain and (b) magnified view of the 1–5 MHz frequency range.

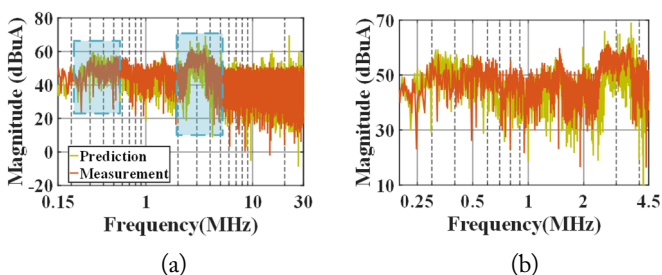


Fig. 23. Comparison of measured and predicted CM currents of GaN HEMT synchronous buck converter operated at 100 kHz: (a) magnitude of CM currents in frequency domain and (b) magnified view of the 1–5 MHz frequency range.

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